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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-H)

In the Application of:

Hauptmann et al.

Serial No.: 09/899,422

Filing Date: July 3, 2001

For: TNF receptors, TNF binding proteins  
and DNAs coding for them

Examiner: To be Assigned

Group Art Unit:

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement;
- b) PTO Form 1449; and cited references
- c) Return postcard

2. With respect to fees:

- a) No fees are required
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service Express Mail to the Commissioner for Patents, Washington, D.C. 20231 on July 27, 2001.

Respectfully submitted,

Donald L. Zuhn, Jr.  
Registration No. P48,710

Date: 27 July 2001

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned representative by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.



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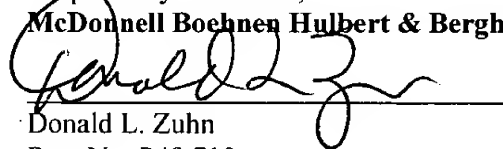
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Date: July 27 2001

Respectfully submitted,

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Reg. No. P48,710